

Notice of References Cited	Application/Control No. 09/941,491		Applicant(s)/Patent Under Reexamination TEAGUE ET AL.	
	Examiner Susanna M. Diaz		Art Unit 3692	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-7,167,837	01-2007	Ciampi et al.	705/35
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Securities and Exchange Commission's "Self-Regulatory Organizations; Order Granting Accelerated Approval of Proposed Rule Change...Dow Jones E*Commerce Index." release no. 34-41112, file no. SR-CBOE-99-05, March 4, 1999.
	V	Definition of "Market Capitalization" from [URL: http://en.wikipedia.org/wiki/Market_capitalization] retrieved on July 19, 2007.
	W	"SEC Provides Guidance on Investment Company Portfolio Valuation." Journal of Investment Compliance, vol. 2, no. 1, page 31, June 2001.
	X	Downes et al. Dictionary of Finance and Investment Terms. Barron's Educational Series, Inc., Definition of "Market Capitalization" (pages 323-324), © 1995.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.